



SECURITIES AND EXCHANGE BOARD OF INDIA

**Recruitment of Officer Grade A (Assistant Manager) -
General Stream, Legal Stream, Information Technology Stream, Engineering Stream, Research
Stream and Official Language Stream 2020**

Securities and Exchange Board of India (SEBI), is a statutory regulatory body established by an Act of Parliament, to protect the interests of investors in securities, to promote the development of and to regulate the securities market.

IMPORTANT DATES TO REMEMBER

Activity	Important Dates (SEBI reserves the right to make any change in these dates)
On-Line Application and Payment of fee On-Line	March 07, 2020 to March 23, 2020
Availability of Call Letters on SEBI website (for On-Line Examinations)	Will be intimated by email/SMS
Phase I On-Line Examination	April 12, 2020
Phase II On-Line Examination*	May 03, 2020
Phase III Interview	Dates will be intimated

* Paper – 2 of Phase II for Information Technology Stream shall be held separately, the details of which shall be available on SEBI website (www.sebi.gov.in) in due course.

Applicants desirous of securing job at SEBI are hereby cautioned not to fall prey to any unscrupulous elements who may try to deceive candidates/public by false promises of securing jobs in SEBI. In case any candidate comes across such offer/ practice, the same may be immediately brought to the notice of SEBI at recruitment@sebi.gov.in, with full details, such as name and contact details, of the elements indulging in such practice.

I. SEBI invites applications from Indian citizens for the post of Officer Grade A (Assistant Manager) for the General Stream, Legal Stream, Information Technology Stream, Engineering Stream, Research Stream and Official Language Stream. SEBI reserves the right to fill up the posts or not to fill up the posts at all.

Stream	Number of posts						Out of which PwBD**	Educational Qualification
	UR	OBC @	SC	ST	EWS \$	Total		
General	32	22	12	6	8	80	1 (Autism/ intellectual disability/ specific learning disability/mental illness) * 1 (D & HH) 1 (B & LV) 2 (Autism/ intellectual disability/ specific learning disability/mental illness)	Master's Degree in any discipline, Bachelors' Degree in Law, Bachelors' Degree in Engineering from a recognized university, CA / CFA / CS / Cost Accountant.

Stream	Number of posts						Out of which PwBD**	Educational Qualification
	UR	OBC @	SC	ST	EWS \$	Total		
Legal	12	4*	2*	2	NA	6*	1 (B & LV)* 1 (B & LV)	Bachelor's Degree in Law from a recognized University / Institute.
		8	4		2	28		
Information Technology	8	3*	1*	1*	NA	5*	1 (D & HH)* 1 (LV)*	Bachelor's Degree in Engineering (Electrical / Electronics / Electronics And Communication / Information Technology / Computer Science) OR Masters in Computers Application OR Bachelor's Degree in any discipline with a post graduate qualification (minimum 2 years duration) in Computers / Information Technology.
		5	2	1	1	17		
Engineering (Civil)	0	0	1*	0	NA	1*	1 (D & HH)*	Bachelor's Degree in Civil Engineering from a recognized University / Institute.
Engineering (Electrical)	2	1	1*	0	NA	1*	1 (D & HH)*	Bachelor's Degree in Electrical Engineering from a recognized University / Institute.
			0		0	3		
Research	3	1	0	1*	NA	1*	1 (D & HH)	Master's Degree in Statistics / Economics / Commerce / Business Administration (Finance) / Econometrics from a recognized University / Institute.
				0	0	4		
Official Language	1	0	0	0	0	1	1 (HH)*	Master's Degree in Hindi with English as one of the subjects at Bachelor's Degree level or Master's Degree in Sanskrit / English / Economics / Commerce with Hindi as a subject at Bachelor's Degree level from a recognized University / Institute.

* Indicates backlog vacancies.

@ Candidates belonging to OBC category but coming in the 'Creamy Layer' are not entitled to OBC reservation.

\$ Reservations for Economically Weaker Sections (EWSs) in recruitment is governed by Office Memorandum No.36039/1/2019-Estt(Res) dated 31.01.2019 of Department of Personnel & Training, Ministry of Personnel, Public Grievances & Pensions, Government of India.

Disclaimer: "EWSs vacancies are tentative and subject to further directives of Government of India and outcome of any litigation. The appointment is provisional and is subject to the Income & Asset certificate being verified through proper channels". Benefit of

reservation under EWSs category can be availed upon production of an 'Income and Asset Certificate' for Financial Year 2018-19 issued by a Competent Authority on the format prescribed by Government of India.

Note 1: A Post Graduate Diploma in any discipline will be acceptable as educational requirement for General stream and a Post Graduate Diploma in Statistics / Economics / Commerce / Business Administration (Finance) / Econometrics will be acceptable as educational requirement for Research Stream, provided the same is recognized as 'equivalent to a Master' Degree in that discipline by Govt. of India. The onus to provide necessary document in this regard shall be on the candidates.

Note 2: Candidates are permitted to apply for maximum of 2 streams only for which he/she is eligible to apply, as per their educational qualifications. Separate on-line application for each stream will have to be submitted and requisite fees is to be paid for each application. In case a candidate applies more than once in a Single Stream and/ or applies for more than 2 Streams, only the latest applications shall be considered valid and the other applications shall be rejected. The officers recruited in any stream may be posted to any department of SEBI, irrespective of their streams.

Candidates who have appeared for the final examinations and waiting for the results are eligible to appear for the Phase I, Phase II and Phase III of selection process. However, the offer to join SEBI to the selected candidates shall be subject to production of necessary documents evidencing successfully obtaining the requisite qualification.

The date of passing eligibility examination will be the date appearing on the mark sheet or provisional certificate issued by the University/ Institute. In case the result of a particular examination is posted on the website of the University/ Institute, a certificate issued by the appropriate authority of the University/ Institute indicating the date on which the result was posted on the website will be taken as the date of passing.

**** Persons with Benchmark Disabilities (PwBD):**

- a. The specified disabilities are:
 - i. Blindness and Low Vision,
 - ii. Deaf and Hard of Hearing,
 - iii. Locomotor Disability including cerebral palsy, leprosy cured, dwarfism, acid attack victims and muscular dystrophy,
 - iv. Autism, intellectual disability, specific learning disability and mental illness,
 - v. Multiple disabilities and
 - vi. Other disabilities (as per Rights of Persons With Disabilities Act, 2016)
- b. The PwBD candidates may belong to any category (i.e. GEN/ SC/ ST/ OBC/ EWSs) and they will be eligible for age relaxations. Reservation for PwBD is horizontal and within the overall vacancies for the posts.
- c. PwBD means a person with not less than forty per cent of a specified benchmark disability where specified disability has not been defined in measurable terms and are eligible to apply in the GEN/ SC/ ST/ OBC/ EWSs category. They will be eligible for concession in application fee.

Age Limit (as on 29/02/2020):

- a. A candidate must not have exceeded the age of 30 years as on February 29, 2020 i.e., candidate must have been born on or after March 01, 1990.
- b. The upper age-limit prescribed above will be relaxable:
 - i. up to a maximum of five years for candidates belonging to a Scheduled Caste or a Scheduled Tribe if the posts are reserved for them;
 - ii. up to a maximum of three years in the case of candidates belonging to Other Backward Classes who are eligible to avail of reservation applicable to such candidates if the posts are reserved for them;
- c. Only those candidates belonging to 'Non Creamy Layer' are eligible to apply under OBC Category. The OBC candidates who belong to 'Creamy Layer' are not entitled to apply under OBC Category. Candidates belonging to OBC category but coming in the 'Creamy Layer' are not entitled to OBC reservation. They should indicate their category as 'Unreserved'. Candidates belonging to the OBC

(NCL) category should have OBC (NCL) certificate issued on or after March 01, 2019 but earlier than the due date (closing date) of the application.

- d. Relaxation of 10 (ten) years for PwBD candidates shall be applicable whether the post is reserved or not. Relaxation of 13 (thirteen) years for PwBD (OBC) candidates where vacancies are reserved for OBC candidates. Relaxation of 15 (fifteen) years for PwBD (SC/ST) candidates where vacancies are reserved for SC/ST candidates.
- e. Relaxation of 5 (five) years for Ex-servicemen. Ex-servicemen include Emergency Commissioned Officers/Short Service Commissioned Officers, who have rendered at least five years continuous Military Service and have been released a) on completion of assignment (including those whose assignment is due to be completed within one year from February 29, 2020) otherwise than by way of dismissal or discharge on account of misconduct or inefficiency or b) on account of physical disability physical disability attributable to Military Service or c) on invalidment. Emergency Commissioned Officers/Short Service Commissioned Officers who have completed their initial period of assignment of five years of Military Service but whose assignment has been extended beyond five years and in whose case the Ministry of Defence issues certificates that they would be released on selection within three months from the date of receipt of offer of appointment.
- f. Candidates belonging to the Scheduled Castes and the Scheduled Tribes and the Other Backward Classes who are also covered under the category of Persons with Benchmark Disabilities and Ex-servicemen will be eligible for grant of cumulative age-relaxation.

Where there is no vacancy reserved for OBC/SC/ST category candidates, such candidates can still apply. However, they will not be eligible for any relaxations. SC/ ST candidates are exempt from payment of application fee even in such case but will have to pay specified intimation charges. OBC/ PwBD candidates should possess a latest certificate to this effect issued by the Competent Authority in the prescribed Format (formats are available on the SEBI website).

II. MODE OF SELECTION: Mode of selection shall be a three stage process i.e. Phase I (on-line screening examination consisting of two papers of 100 marks each), Phase II (on-line examination consisting of two papers of 100 marks each) and Phase III (Interview).

a. Phase I On-Line Examination:

An online screening examination consisting of two papers (Multiple Choice questions of 100 marks each) will be held on April 12, 2020. The exam will consist of:

Paper	Streams/ Subjects	Maximum Marks	Duration	Cut off
Paper 1	All Streams: Multiple choice questions on the subjects viz. General Awareness (including some questions related to Financial Sector of easy to moderate difficulty level), English Language, Quantitative Aptitude and Test of Reasoning.	100	60 minutes	30%
Paper 2	General Stream: Multiple choice questions on subjects Commerce, Accountancy, Management, Finance, Costing, Companies Act and Economics.	100	40 minutes	40%
	Legal, Information Technology, Engineering Stream (Civil & Electrical), Official Language stream: Multiple choice questions on Specialized subject related to stream.	100	40 minutes	40%
	Research Stream:- Multiple choice questions on subjects Economics, Econometrics, Statistics, Finance and Commerce.			
Aggregate Cut off				40%

- i. There shall be negative marking (1/4th of marks assigned to the question) for the Paper 1 and Paper 2 in Phase I.
- ii. There shall be a cut-off of minimum 30% for Paper 1 (no sectional cut-off shall be there) and a cut-off of minimum 40% for Paper 2 in Phase I.

- iii. Candidates would need to secure separate cut-off in each paper as mentioned at (ii) above as well as aggregate cut-off marks of 40% in Phase I exam to be shortlisted for Phase II. Marks obtained in Phase I shall be used only for shortlisting the candidates for Phase II examination process and will not be counted for final selection of the candidates.
- iv. Subject to the criteria mentioned at (iii) above, all the candidates who clear Phase I shall be shortlisted for Phase II. List of candidates shortlisted for Phase II will be made available on SEBI website.

Syllabus for the papers in Phase I is available in the **Annexure** to this advertisement.

b. Phase II On-Line Examination:

An on-line examination consisting of two papers of 100 marks each will be held on May 03, 2020. The papers shall be as follows:

Paper		Maximum Marks	Duration	Cut off	Weightage
Paper 1	All streams: English (Descriptive Test) to test the drafting skills	100	60 minutes	30%	1/3 rd
Paper 2	General Stream: Multiple choice questions on subjects Commerce, Accountancy, Management, Finance, Costing, Companies Act and Economics.	100	40 minutes	40%	2/3 rd
	Legal, Engineering Stream (Civil & Electrical) and Official Language stream: Multiple choice questions on Specialized subject related to stream.	100	40 minutes	40%	2/3 rd
	Research Stream:- Multiple choice questions on subjects Economics, Econometrics, Statistics, Finance and Commerce.				
Aggregate Cut off				40%	

Note:- The details with respect to Paper – 2 of Phase II for Information Technology Stream shall be made available on SEBI website (www.sebi.gov.in) in due course.

- i. Candidates shortlisted for Phase II will be issued new Hall Tickets.
- ii. For candidates who have applied in multiple streams, Paper II will be conducted in various shifts, the timings of which will be intimated in the Hall Ticket.
- iii. There shall be negative marking (1/4th of marks assigned to the question) for Paper 2 in Phase II (except IT stream for which details shall be informed in due course).
- iv. There shall be a cut-off of minimum 30% for Paper 1 and a cut-off of minimum 40% for Paper 2 in Phase II.
- v. Candidates would need to secure separate cut-off in each paper as mentioned at (iv) above as well as aggregate cut-off marks of 40% in Phase II exam (weightage of 1/3rd for Paper 1 and 2/3rd for Paper 2) to be shortlisted for Phase III.
- vi. Subject to the criteria mentioned at (v) above, candidates equaling 3 times the number of vacancies shall be shortlisted, in order of merit, for Phase III i.e. the Interview. List of candidates shortlisted for Interview will be made available on SEBI website.

Note: All question papers (in both the Phases, except the test of English) will be set bilingually in Hindi and English.

Syllabus for the papers in Phase II is available in the **Annexure** to this advertisement.

- c. **Interview:** Only the shortlisted candidates will be called for interview. Application fee shall not be refunded to the candidates not shortlisted for Phase II and Interview. Candidate may opt for interview either in Hindi or English. Weightage of marks obtained in Phase II will be 85%, while marks obtained in interview shall be given a weightage of 15%.

SEBI reserves the right to modify the selection procedure, if deemed fit.